

FATCA / CRS DECLARATION / SELF CERTIFICATION BY CLIENT

Client Code : _____

Demat ID : 12034100 - _____

	First / Sole Holder	Second Holder	Third Holder
Is your Tax Residency / Country of Birth / Nationality / Citizenship other than India?	<input type="checkbox"/> NO <input type="checkbox"/> YES - If Yes, please specify: Country of Birth _____ Tax Residency _____ Nationality /Citizenship _____	<input type="checkbox"/> NO <input type="checkbox"/> YES - If Yes, please specify: Country of Birth _____ Tax Residency _____ Nationality /Citizenship _____	<input type="checkbox"/> NO <input type="checkbox"/> YES - If Yes, please specify: Country of Birth _____ Tax Residency _____ Nationality /Citizenship _____

If YES to any of the above provide details of all countries in which you are resident for tax purpose and your associated Tax ID number below:

1. Country of Tax Residency ^			
2. Tax Identification Number *			
3. Identification Type			

^ To include all countries other than India, where investor is Citizen / Resident / Green Card Holder / Tax Resident in those respective countries especially of USA.

* In case Tax Identification Number is not available, kindly provide its functional equivalent.

Sources of Wealth	First / Sole Holder	Second Holder	Third Holder
	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Price Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others _____	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Price Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others _____	<input type="checkbox"/> Salary <input type="checkbox"/> Business <input type="checkbox"/> Gift <input type="checkbox"/> Rental Income <input type="checkbox"/> Royalty <input type="checkbox"/> Price Money <input type="checkbox"/> Ancestral Property <input type="checkbox"/> Others _____

DECLARATION

I/We hereby declare, agree and confirm the following:

- The details furnished above are true to the best of my knowledge and belief and shall undertake to inform SSJ Finance & Securities Private Limited (SSJF) within 30 days, in case of any change in the above given status on a future date;
- If I/we am/are U.S. person or tax resident of a reportable foreign jurisdiction (other than U.S.), my account details, would be reported by SSJF to the relevant tax authority, or information may be shared with other entities, to whom FATCA/ CRS norms are applicable or to any of the Government Agencies / Tax authorities / Regulators / Exchanges / Depositories of India or of any country other than India;
- I/we understand that SSJF is relying on this information for the purpose of determining the status of the applicant(s) named above in compliance with FATCA/CRS. That SSJF is not able to offer any tax advice on CRS or FATCA or its impact on the applicant(s). I/We shall seek advice from professional tax advisor for any tax questions.
- If my / our Country of Birth is US, however, I / We declare that I/ We are not US Person, I / We shall provide a certificate of relinquishment of citizenship (Loss of nationality) OR a self certification stating reasons for not having such a certificate despite relinquishing US citizenship OR not obtaining US citizenship at birth.



(First / Sole Holder Signature & Name)



(Second Holder Signature & Name)



(Third Holder Signature & Name)

What is FATCA/CRS?

The U.S. government introduced the Foreign Account Tax Compliance Act, 2010 (FATCA) for obtaining information on accounts held by U.S. taxpayers in other countries. Further, Organization for Economic Co-operation & Development (OECD) and G20 countries agreed for automatic exchange on information through Common Reporting Standards (CRS). The Government of India has signed an Inter-Government Agreement (IGA) with US and has also joined the Multilateral Competent Authority agreement (MCAA) for automatic sharing of information with member countries of OECD and G20. By virtue of India signing an IGA with US and joining MCAA, Indian financial institutions will have to provide the required financial information to Indian tax authorities which in turn would forward reportable information to US IRS and member countries of OECD and G20 countries.

In order to implement FATCA and CRS norms in India, SSJF is required to implement procedures to identify U.S. account holders or other jurisdictions reportable accounts, perform due diligence and obtain documentary evidence wherever required and report details of such accounts to relevant tax authority.

US Person means: In case of individuals, U.S. person means a citizen or resident of the United States. Persons who would qualify as U.S. persons could be born in United States, born outside the United States of a US parent, Naturalized citizens, Green Card Holders, tax residents.

Who is Reportable Person (Non US) under Common Reportable Standards (CRS)?

Under Common Reportable Standards (CRS), reportable person means Tax residents of a reportable foreign jurisdiction other than U.S. (Please note the above information is provided only for quick reference to customers. You are requested to consult a legal/ tax advisor if in doubt.)

Documents to be collected if Customer's Country of birth is U.S. but declare that he/ she are not a U.S. person

- Certificate of relinquishment of citizenship (Loss of nationality certificate); OR
- Self certification for stating reasons for not having such a certificate despite relinquishment U.S. citizenship; OR
- Self certification for stating reasons for not obtaining U.S. citizenship at birth.