Action Taken Report (ATR)

Name of Research Analyst:	SSJ Finance and Securities Private Limited
SEBI Registration No.:	INH000001527
BSE Enlistment No.:	5104
Entity Type:	Body Corporate
Financial Year:	2025 - 2026

Sr. No	Regulation	Particulars	Compliance Status	Reason for Non-Compliance	Auditor Comments	Action Taken on Adverse Findings
1	**Regulation 19**	**Disclosure in research reports:** This involves disclosure of all prescribed information by the Research Analyst in its research report.	Not Complied	RA has not disclosed the details as per the prescribed regulatory requirement. However, on sample verification is observed that disclosure with respect to compensation received in not made. For details refer **Annexure- 1.**	Yes	The disclosure was not included as there was Nil disclosure in respect of the aforesaid clause. However, we understand that the disclosure statement will be required irrespective of no disclosure and the said disclosure will be immediately incorporated in our disclosures.
2	**Regulation 20**	**Contents of research report:** Do the research reports ensure that facts are based on reliable information, terms and rating systems are clearly defined and consistently used, ratings/price targets (where applicable) include	Not Complied	On sample verification, it is observed that in 15 instances, the daily graph or chart not disclosed in cases where the time horizon of recommendation is more than	Yes	We have made the necessary modification in our Disclosures and Disclaimers and have incorporated the required disclosure.

		the required price-movement graph, and recommendations are supported by relevant data and analysis, in compliance with the RA Regulations?		12 months. For details refer **Annexure - 1.**		
3	**SEBI Master Circular HO/38/12/11(1)2026-MIRSD-POD/I/4360/2026 Clause no. 1.7**	**<u>Use of Artificial Intelligence ('AI') tools in RA services.</u>** Research Analyst shall provide the disclosure of the extent of use of Artificial Intelligence tools by them in providing research services to their clients at the time of disclosing the terms and conditions of the research services to the client and make such additional disclosure whenever required.	Not Complied	On sample verification it is observed that the RA has not made any disclosure with respect to usage of AI/ ML tool for providing Research Services in Disclosures made to the clients.	Yes	Presently, we are not offering any Research services based on AI/ML. We will incorporate appropriate disclosures with respect to usage of AI/ ML tools as per the requirement.

For SSJ Finance and Securities Private Limited

SAURABH SURESHCHAND JAIN

Digitally signed by SAURABH SURESHCHAND JAIN
DN: c=IN, st=Maharashtra, 2.5.4.20=e4339362d6c18a41348ec23fb933b135c8f190e90230f06abdd0c24a80f79fc0, postalCode=400025, street=Mumbai, pseudonym=c00af382486de41643a2b2bb6c428653, serialNumber=fa45401c0c8750f44703767092b2def4c75036031395b5a777bd60fc265275ad, o=Personal, cn=SAURABH SURESHCHAND JAIN
Date: 2026.10.30 17:35:18 +05'30'

Saurabh Jain
Director
DIN: 00261770

Annexure - 1

Instances with time horizon of recommendations beyond 12 months where daily graph or chart are not disclosed and non-inclusion of compensation disclosures.

Sr. No	Date of Report	Name of Script	Name of Research Analyst
1	18-06-2025	AIIL	Viral Chheda
2	05-08-2025	AB Capital	Viral Chheda
3	03-09-2025	Acmesolar	Viral Chheda
4	08-09-2025	Sardaen	Viral Chheda
5	15-09-2025	Nava	Viral Chheda
6	09-10-2025	Polycab	Viral Chheda
7	04-11-2025	Torrent Pharma	Viral Chheda
8	07-11-2025	Parag Milk	Viral Chheda
9	10-11-2025	MCX	Viral Chheda
10	11-11-2025	Syrma	Viral Chheda
11	25-12-2025	Grasim	Viral Chheda
12	29-01-2026	Acutaas	Viral Chheda
13	04-03-2026	Sun Pharma	Viral Chheda
14	13-03-2026	Glenmark Pharma	Viral Chheda
15	25-03-2026	Auropharma	Viral Chheda