

### Annexure – B

**Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites**

**Data for every month ending - Oct - 2025**

| S<br>N | Received<br>from              | Carried<br>forward<br>from<br>previous<br>month | Received<br>during<br>the<br>month | Total<br>Pending | Resolved<br>* | Pending at the end<br>of the month**    |                                         | Average<br>Resolution<br>time^ (in<br>days) |
|--------|-------------------------------|-------------------------------------------------|------------------------------------|------------------|---------------|-----------------------------------------|-----------------------------------------|---------------------------------------------|
|        |                               |                                                 |                                    |                  |               | Pending<br>for less<br>than 3<br>months | Pending<br>for more<br>than 3<br>months |                                             |
| 1      | 2                             | 3                                               | 4                                  | 5                | 6             | 7                                       |                                         | 8                                           |
| 1      | Directly<br>from<br>Investors | 0                                               | 0                                  | 0                | 0             | 0                                       |                                         | 0                                           |
| 2      | SEBI<br>(SCORE<br>S 2.0)      | 0                                               | 0                                  | 0                | 0             | 0                                       |                                         | 0                                           |
| 3      | Stock<br>Exchang<br>es        | 0                                               | 0                                  | 0                | 0             | 0                                       |                                         | 0                                           |
| 4      | Other<br>Sources<br>(if any)  | 0                                               | 0                                  | 0                | 0             | 0                                       |                                         | 0                                           |
| 5      | Grand<br>Total                | 0                                               | 0                                  | 0                | 0             | 0                                       |                                         | 0                                           |

### Trend of monthly disposal of complaints

| SN | Month       | Carried forward from previous month | Received | Resolved* | Pending** |
|----|-------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2           | 3                                   | 4        | 5         | 6         |
| 1  | April-2025  | NIL                                 | NIL      | NIL       | NIL       |
| 2  | May-2025    | NIL                                 | 01       | NIL       | NIL       |
| 3  | June-2025   | 01                                  | NIL      | 01        | NIL       |
| 4  | July-2025   | NIL                                 | NIL      | NIL       | NIL       |
| 5  | Aug-2025    | NIL                                 | NIL      | NIL       | NIL       |
| 6  | Sep-2025    | NIL                                 | 01       | 01        | NIL       |
| 7  | Oct-2025    | NIL                                 | NIL      | NIL       | NIL       |
|    | Grand Total | 01                                  | 02       | 02        | NIL       |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

### Trend of annual disposal of complaints

| SN | Year               | Carried forward from previous year | Received during the year | Received during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2021-22            | NIL                                | NIL                      | NIL                      | NIL                            |
| 2  | 2022-23            | NIL                                | 04                       | 04                       | NIL                            |
| 3  | 2023-24            | NIL                                | 02                       | 02                       | NIL                            |
| 4  | 2024-25            | NIL                                | 02                       | 02                       | NIL                            |
| 5  | 2025-26            |                                    |                          |                          |                                |
|    | <b>Grand Total</b> | NIL                                | 08                       | 08                       | NIL                            |